

SUBSTITUTE FORM PTO-1449
(MODIFIED)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
00167/340001

SERIAL NO.

09/290203

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

APPLICANT
Alan E. Shluzas et al.

FILING DATE
April 12, 1999

GROUP

373

U.S. PTO
09/290203

04/12/99

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
W	AA	9	1	9	1	3	8		04-20-09	Drake et al.			
	AB	1	0	3	7	8	6	4	09-10-12	Carlson et al.			
	AC	1	6	3	5	0	6	6	07-05-27	Wells			
	AD	1	8	1	5	7	2	5	07-21-31	Pilling et al.			
	AE	2	6	1	0	6	3	1	09-16-52	Calicchio	128	326	
	AF	3	0	1	3	5	5	9	12-19-61	Thomas	128	340	
	AG	3	4	7	0	8	7	5	10-07-69	Johnson et al.	128	334	
	AH	3	6	3	8	6	5	3	02-01-72	Berry	128	340	
	AI	3	7	5	2	5	1	6	08-14-73	Mumma	289	17	
	AJ	3	8	4	0	0	1	7	10-08-74	Violante	128	340	
	AK	3	8	4	2	8	4	0	10-22-74	Schweizer	128	334 R	
	AL	3	8	7	1	3	7	9	03-18-75	Clarke	128	326	
	AM	3	9	0	1	2	4	4	08-26-75	Schweizer	128	334 R	
	AN	4	1	6	1	9	5	1	07-24-79	Scanlan, Jr.	128	340	
	AO	4	1	6	4	2	2	5	08-14-79	Johnson et al.	128	334 R	
	AP	4	2	2	4	9	4	7	09-30-80	Fukuda	128	340	
	AQ	4	3	1	2	3	3	7	01-26-82	Donohue	128	92 EB	
	AR	4	4	9	3	3	2	3	01-15-85	Albright et al.	128	340	
	AS	4	5	9	6	2	4	9	06-24-86	Freda et al.	128	334 R	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AT							

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

EXAMINER	AU								DATE CONSIDERED	12/3/99
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3731

(37 CFR 1.98(b))

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
W	BA	4	6	0	2	6	3	5	07-29-86	Mulhollan et al.	128	334 R	
	BB	4	6	2	1	6	4	0	11-11-86	Mulhollan et al.	128	340	
	BC	4	6	4	1	6	5	2	02-10-87	Hutterer et al.	128	334 R	
	BD	4	6	4	3	1	7	8	02-17-87	Nastari et al.	128	92 YD	
	BE	4	7	7	9	6	1	6	10-28-88	Johnson	128	334 R	
	BF	4	7	8	1	1	9	0	11-01-88	Lee	128	334 R	
	BG	4	8	9	0	6	1	5	01-02-90	Caspari et al.	128	340	
	BH	4	9	2	3	4	6	1	05-08-90	Caspari et al.	606	146	
	BI	4	9	5	7	4	9	8	09-18-90	Caspari et al.	606	146	
	BJ	4	9	6	1	7	4	1	10-09-90	Hayhurst	606	139	
	BK	5	0	8	4	0	5	8	01-28-92	Li	606	148	
	BL	5	0	8	7	2	6	3	02-11-92	Li	606	148	
	BM	5	1	0	0	4	1	5	03-31-92	Hayhurst	606	139	
	BN	5	1	0	0	4	1	8	03-31-92	Yoon et al.	606	139	
	BO	5	1	0	0	4	2	1	03-31-92	Christoudias	606	147	
	BP	5	1	3	3	7	2	3	07-28-92	Li et al.	606	148	
	BQ	5	1	4	9	3	2	9	09-22-92	Richardson	604	272	
	BR	5	1	6	3	9	4	6	11-17-92	Li	606	148	
	BS	5	1	7	6	6	9	1	01-05-93	Pierce	606	148	
	BT	5	1	8	1	9	1	9	01-26-93	Bergman et al.	606	144	
	BU	5	1	9	2	2	8	7	03-09-93	Fournier et al.	606	139	
	BV	5	2	0	1	7	4	4	04-13-93	Jones	606	148	
	BW	5	2	1	7	4	7	1	06-08-93	Burkhart	606	148	
	BX	5	2	2	2	5	0	8	06-29-93	Contarini	128	898	
	BY	5	2	3	4	4	4	3	08-10-93	Phan et al.	606	148	
	BZ	5	2	3	4	4	4	4	08-10-93	Christoudias	606	148	

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
WZ	CA 5 2 5 0 0 5 4	10-05-93	Li	606	148	
	CB 5 2 5 0 0 5 5	10-05-93	Moore et al.	606	148	
	CC 5 2 5 7 6 3 7	11-02-93	El Gazayerli	128	898	
	CD 5 2 6 1 9 1 7	11-16-93	Hasson et al.	606	139	
	CE 5 2 6 9 7 9 1	12-14-93	Mayzels et al.	606	148	
	CF 5 2 8 1 2 3 4	01-25-94	Wilk et al.	606	139	
	CG 5 3 8 2 2 5 7	01/17/95	Lewis et al.			
	CH 5 2 5 9 8 4 6	11/09/93	Granger et al.			
	CI 5 2 2 4 9 5 5	07/06/93	West			
	CJ 4 1 6 1 9 5 1	07/24/79	Scanlan, Jr.			
	CK 3 3 4 9 7 7 2	10/31/67	Rygg			
	CL 2 8 8 0 7 2 8	04/07/59	Rights			
	CM 5 3 8 7 2 2 1	02/07/95	Bisgaard			
	CN 5 3 8 9 1 0 3	02/14/95	Melzer et al.			
	CO 5 4 1 7 7 1 2	05/23/95	Whittaker et al.			
	CP 5 4 5 4 8 2 3	10/03/95	Richardson et al.			
	CQ 5 2 6 9 7 8 3	012/14/93	Sander			
	CR 5 5 7 8 0 4 4	11/26/96	Gordon			
	CS 4 1 6 4 2 2 5	08/14/79	Johnson et al.			
	CT 3 9 4 6 7 4 0	03/30/76	Bassett			
	CU					

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Substitute Disclosure Form (PTO-1449)

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
WZ	DA 5 7 3 0 7 4 7	03/24/98	Ek et al.			
	DB 3 9 0 1 2 4 4	08/26/75	Schweizer			
	DC 5 3 1 8 5 7 7	06/07/94	Li			
	DD 5 3 9 7 3 2 5	03/14/95	Della Badia et al.			
	DE					
	DF					
	DG					
	DH					
	DI					
	DJ					
	DK					

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
WZ	DL WO 96/39948	12/19/96	PCT				
	DM WO 96/39946	12/19/96	PCT				
	DN WO 95/02363	01/25/95	PCT				
	DO EP 0 788 004 A1	06/11/97	Europe				
	DP						

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WZ	DD	Smith & Nephew Brochure: Acufex Suture Punch, 1997
	DR	Smith & Nephew Dyonics Brochure: Proline Reusable Endoscopic Hand Instruments, 1994
	DS	Auto Suture Company Brochure: Endoscopic Suturing Made Easy, 1994

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